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# Information Assurance and Transportation

**Presented to the  
Midwest Transportation Consortium  
18 January 2002**

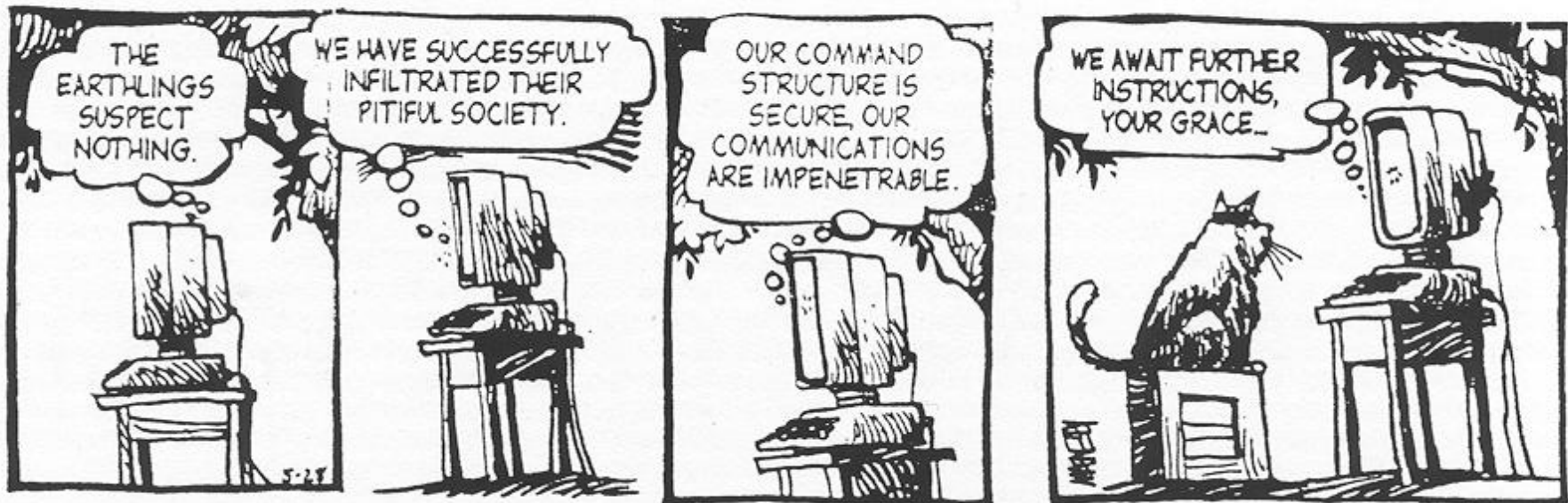
Kip Peters  
Chief Information Security Officer  
State of Iowa  
515-725-0362

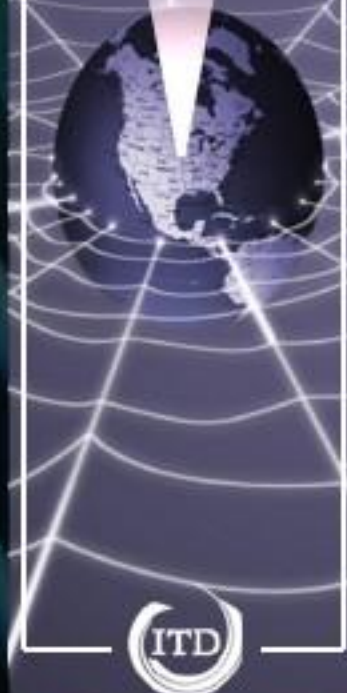
[Kip.Peters@itd.state.ia.us](mailto:Kip.Peters@itd.state.ia.us)

<http://www.itd.state.ia.us/security/>



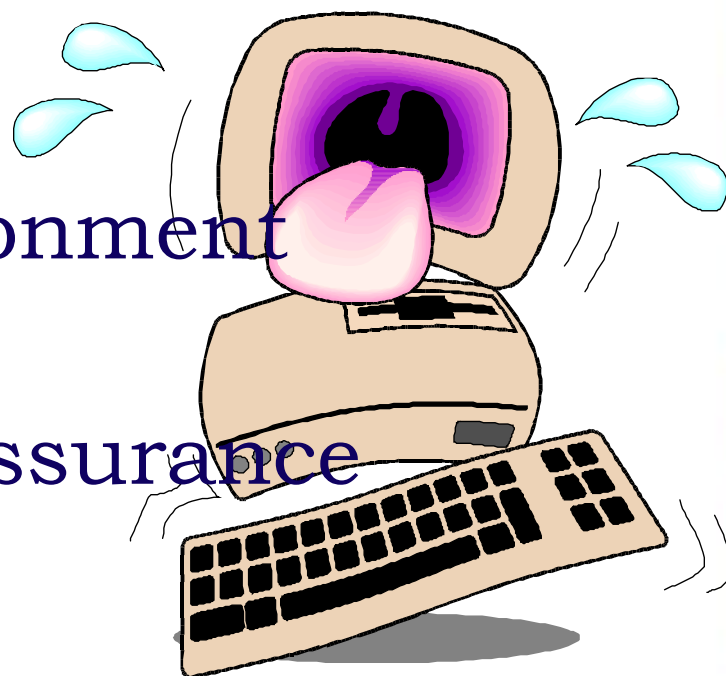
Shoe / by Jeff MacNelly





# What I'm Gonna Tell 'Ya

- Introduction
- Today's Environment
- Threats
- Information Assurance
- Challenges
- Elements
- Critical Infrastructures
- Transportation and Security
- Initiatives

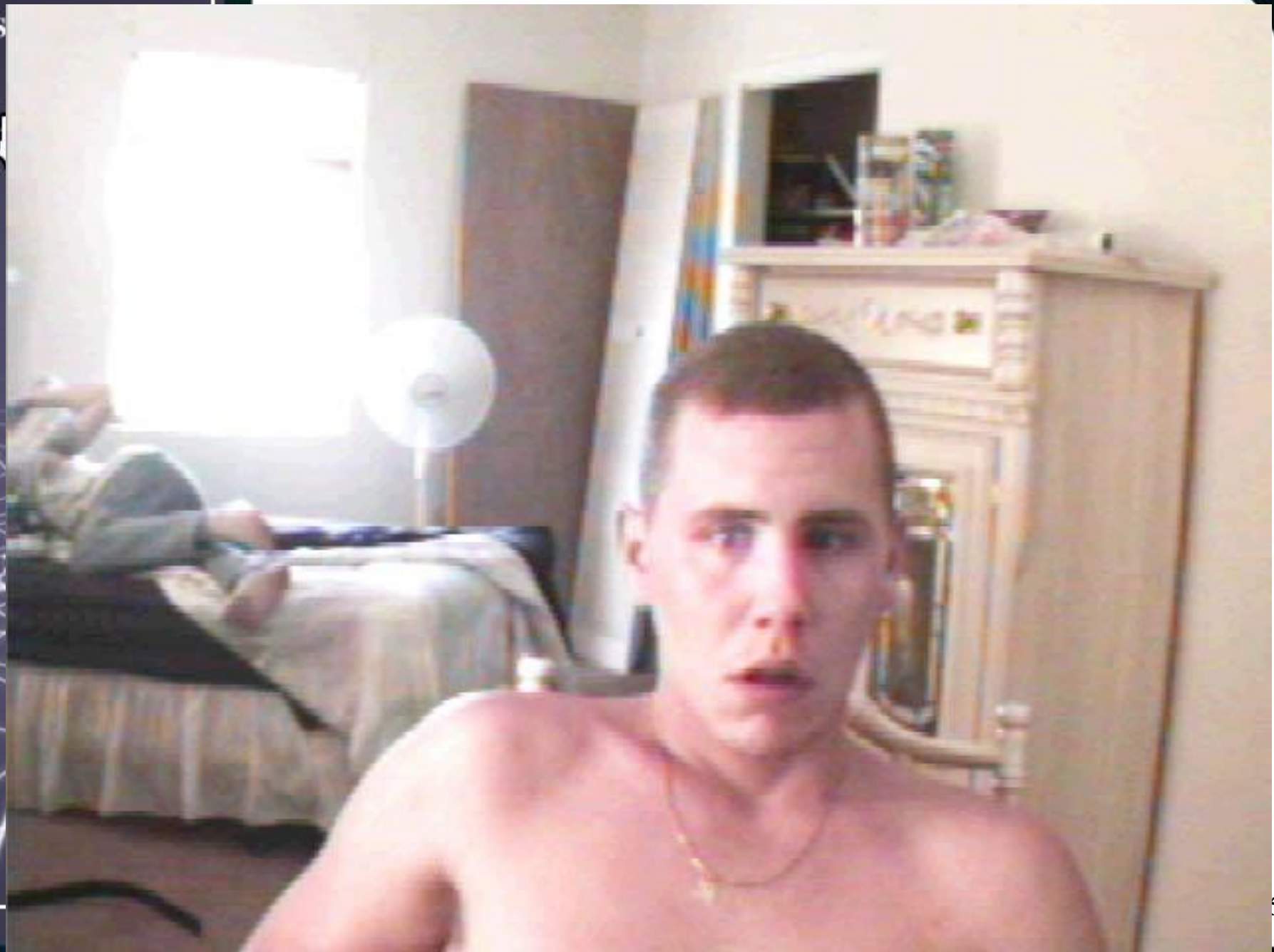


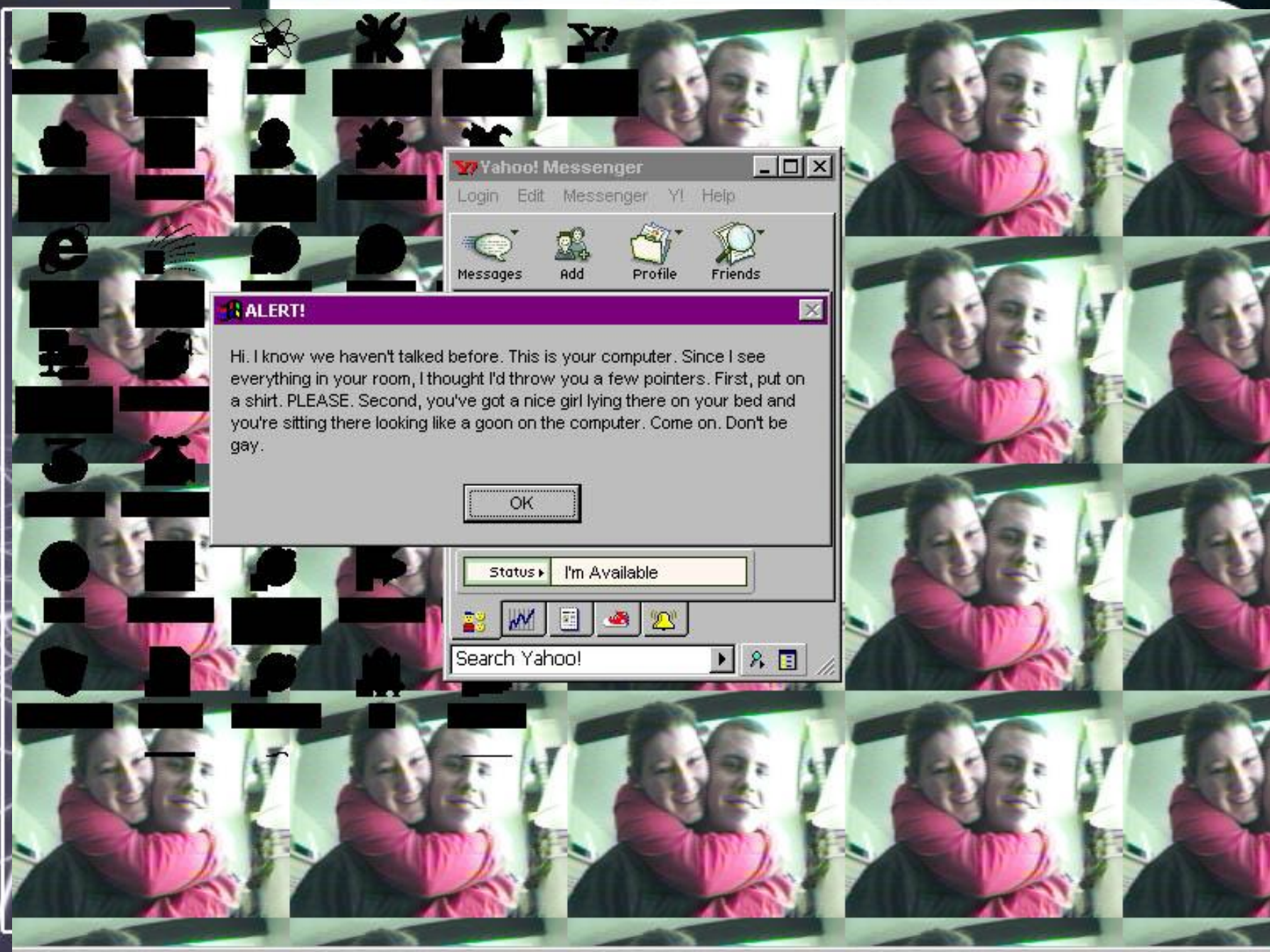


# Introduction

- Networks increasingly important
- Increasingly under attack
- New security emphasis
- It's a new world out there









NetBus 1.70, by cf



Server admin

Host name/IP: localhost

Port: 12345

Open CD-ROM

☐ in interval: 60

About

Add IP

Connect!

Show image

Function delay: 0

Memo

Del IP

Scan!

Swap mouse

Port Redirect

App Redirect

Server setup

Start program

Play sound

0

0

Control mouse

Msg manager

Exit Windows

Mouse pos

Go to URL

Screendump

Send text

Listen

Key manager

Get info

Active wnds

Sound system

File manager

No connection



# Today's Computing Environment

Advanced societies are dependent upon vulnerable computer systems

- Power grid, dam controls, train switching
- Paychecks, social security and welfare checks, stocks, money transfers
- Criminal records, medical information
- Transportation systems
- \$600B/day in Federal Reserve transfers
- \$2T/day in international wire transfers
- \$15B/day lost





# Today's Computing Environment

- New, Internet-based approaches
  - Enhance communication
  - Increase customer satisfaction
  - Reduce cost
- Leverage existing public infrastructures
- Increasingly mobile workforce



# Today's Computing Environment

## What does this mean?

- Information, resources, and capabilities available at unprecedented levels
- Shop on-line
- Renew licenses, banking, stocks
- Track inventories
- Communicate throughout the world
- Convenience is astonishing
- Opportunities



# Today's Computing Environment

But other opportunities exist as well...







# The Security Threat

- Technological advances that contribute to these conveniences also make systems vulnerable to attack
- Hacker networks share information and work together fairly well



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# The Security Threat

“The electron is the ultimate guided weapons system.”

*--Dr. John Deutch, Director, CIA  
Testimony to U.S. Senate  
Permanent Subcommittee  
on Investigations  
Hearings, 25 June 96*



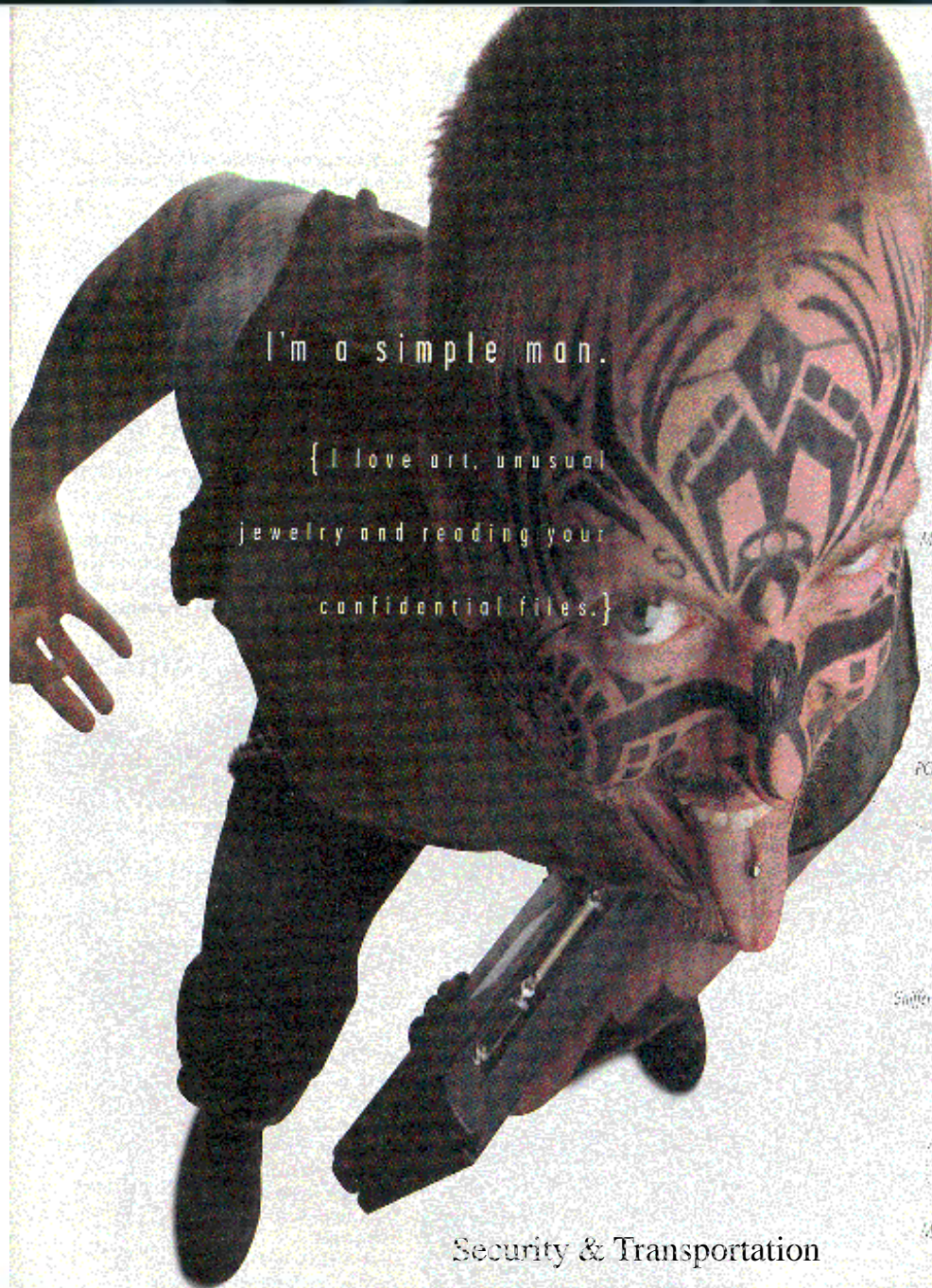
# The Security Threat

- Hackers
- Crackers
- Phreakers
- Subversives
- Political Dissidents
- Insiders
- Terrorists





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I'm a simple man.

{ I love art, unusual  
jewelry and reading your  
confidential files. }

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Monitor Your Defense



PCP Your Network Security



Sniffer Your Network Usability



Monitor Your Server Data

Security & Transportation

18 January 2002 15



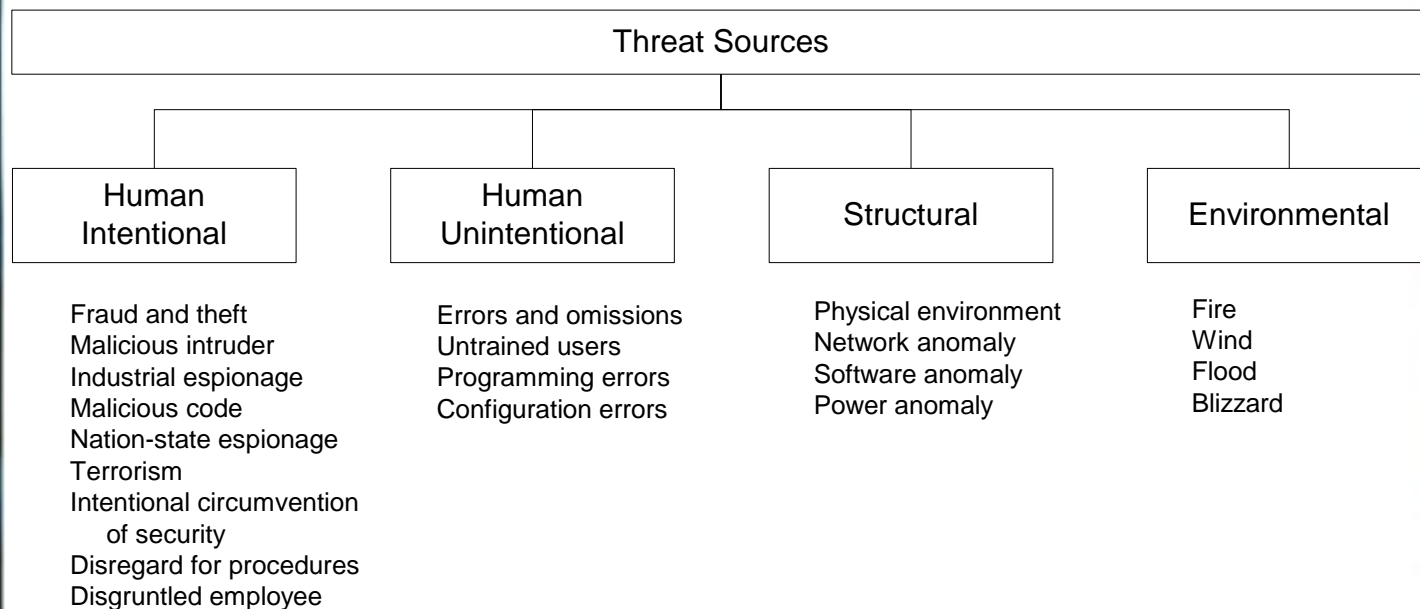


find the  
security threat  
in this  
picture





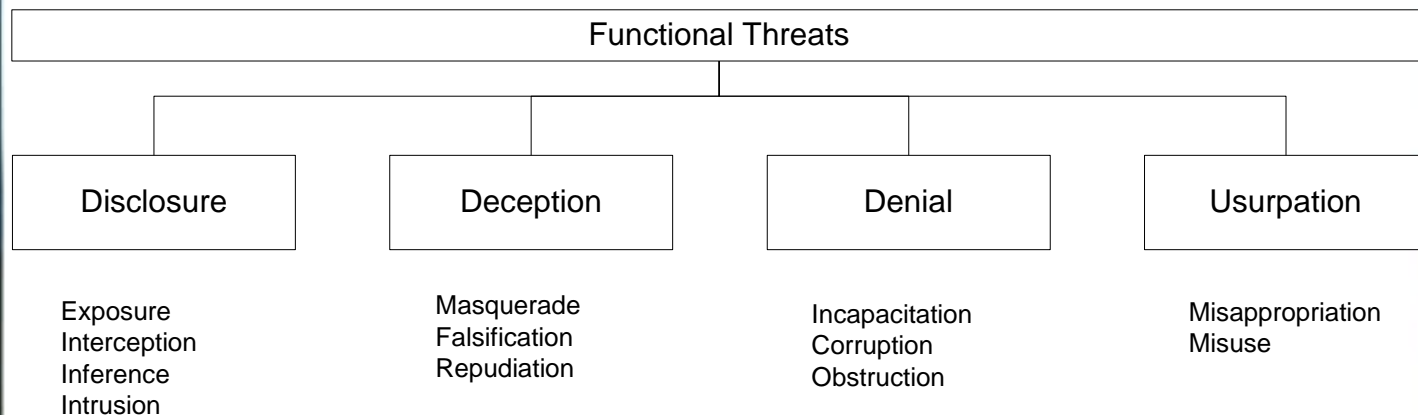
# Threats







# Threats





- Difficult to ascertain
- Wide and varied
- Intelligence
- Difficult to apply to the risk equation



# Risk

- Classical risk concept:  
 $\text{risk} = \text{threat} \times \text{vulnerability}$
- New risk concept:  
 $\text{risk} = \text{criticality} \times \text{vulnerability}$

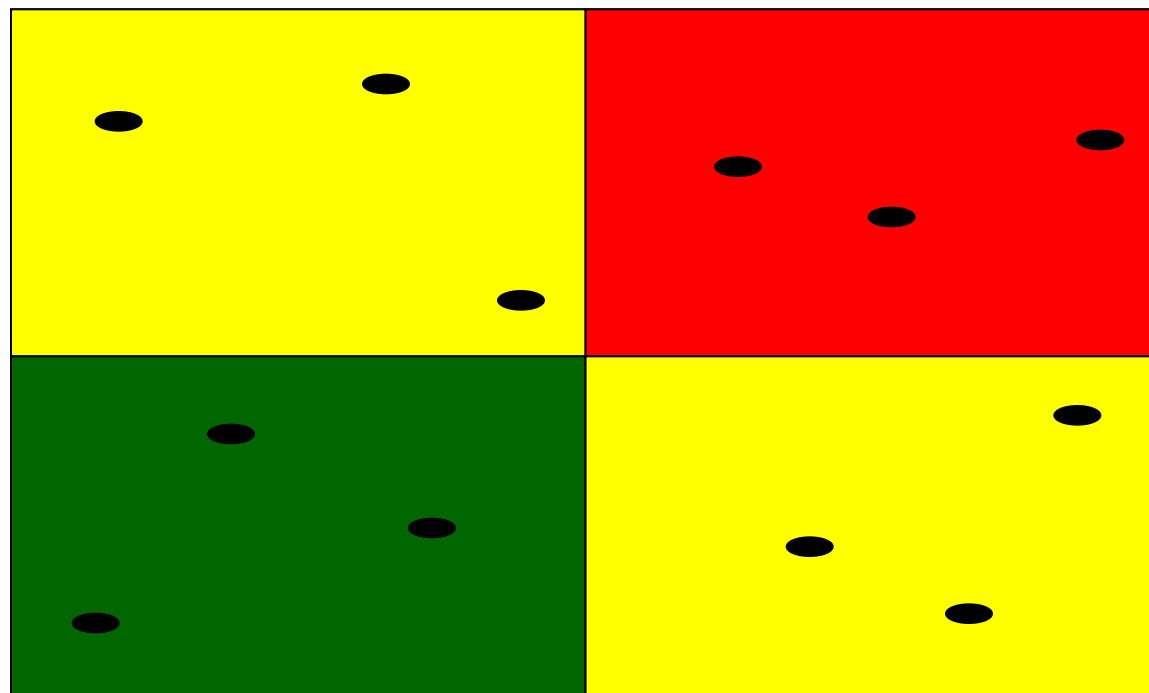


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# Concept of Risk

Criticality



Vulnerability x Threat



# Risk Management

- What can hurt me?
- How can it hurt me?
- How critical is the asset?
- What can I do to protect myself?

# Security is not...

- Easy
- A product
- Static
- A condition
- Risk elimination





# Security is...

- Complex
- A process
- Highly dynamic
- Elusive
- Risk management
- Not complete







# Information Assurance

- **Protect** information and information systems from intentional, unintentional, structural, and natural threats
- **Detect** threats to information and information systems
- **Restore** capabilities in an efficient and prioritized manner
- **Respond** appropriately with an integrated, coordinated, and focused effort to cope with, reduce, or eliminate the effects of attacks or intrusions





- Confidentiality
- Integrity
- Availability





# Protect



**Risk Management, and thus, Information Assurance, is a *process*, not a product.**



# Detect

- Host and network-based intrusion detection
- Auditing and log review
- User reporting
- Daily status update





- Backups in a secure location
- Test
- Exercise
- Identify critical assets



# Respond

- Configuration changes
- Law enforcement
- Hardware, software
- Policy changes



# Challenges

- Protect confidentiality, integrity, and availability
- Protect while allowing user to function
- Promote security as an enabler, not an obstacle





# AGONY

NOT ALL PAIN IS GAIN.



# Challenges

- Management
- Operations
- Law
- Users
- Administrators
- Resources



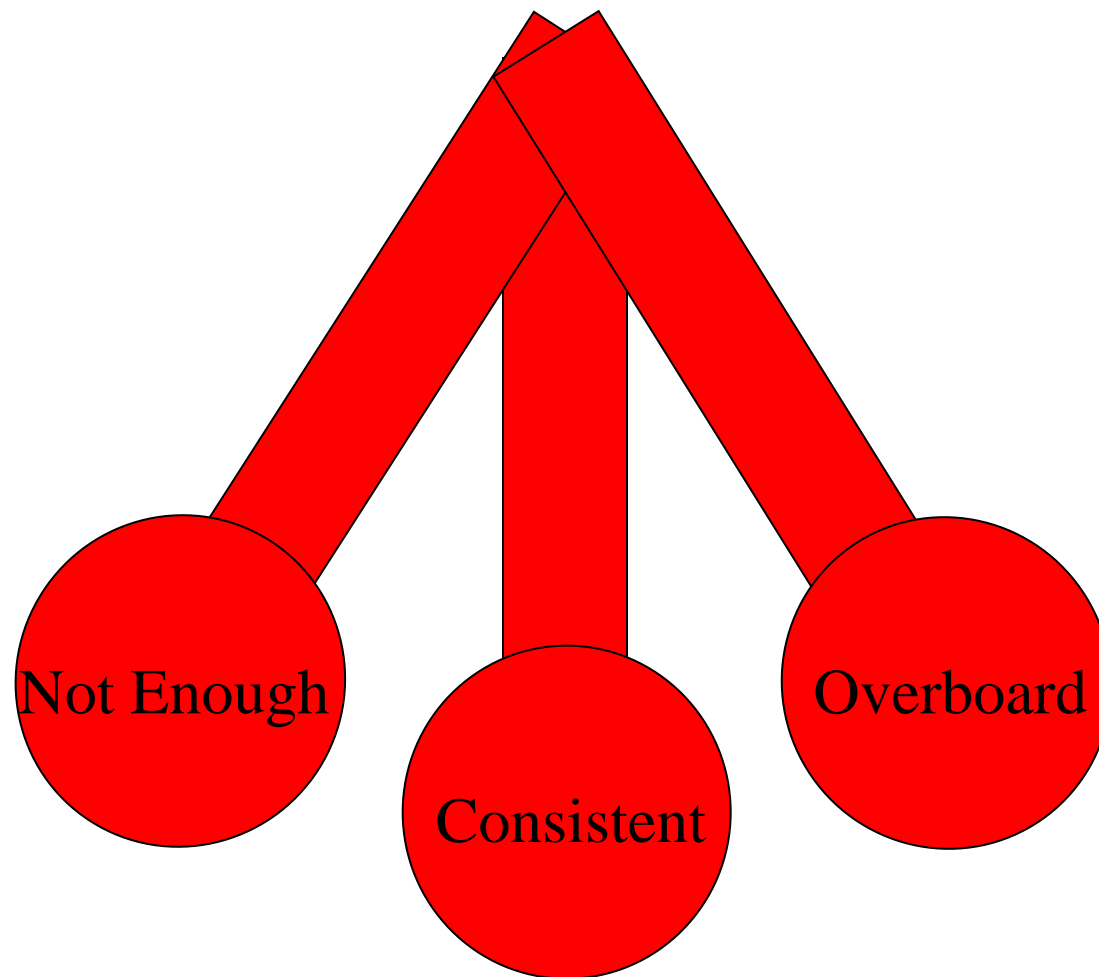
# APATHY

IF WE DON'T TAKE CARE OF THE CUSTOMER,  
MAYBE THEY'LL STOP BUGGING US.





# The Pendulum

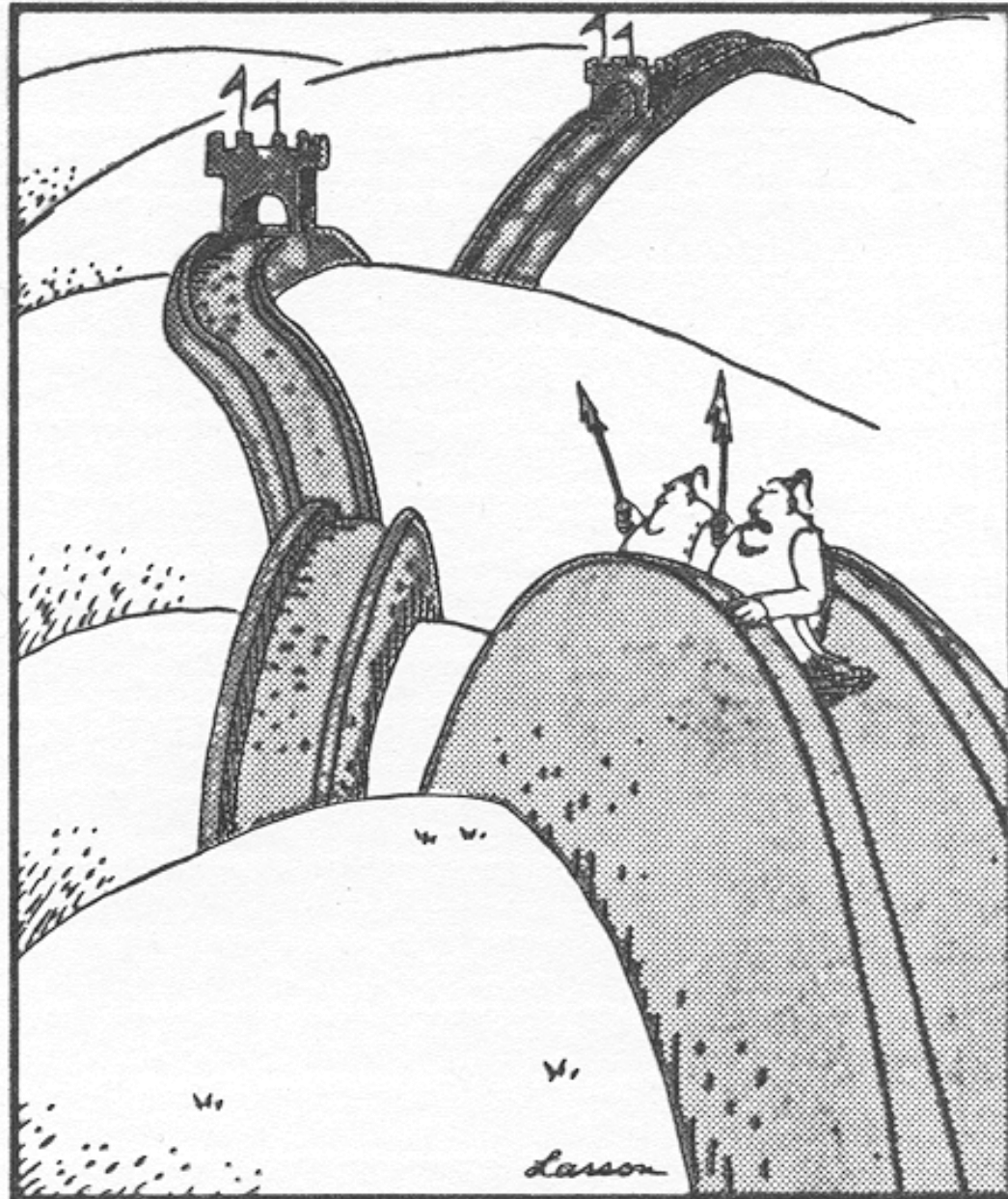




- Security standards
- Vendors
- Products
- No such thing as a state of perfect knowledge
- Reactive vs. proactive
- Just enough



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"Now we'll see if that dog can get in here!" 8 January 2002 37





# Guiding Principles

- The state is entrusted with the information and owns the accountability for its protection.
- Security exists only to mitigate risk.
- Security must be an enabler.
- Security input must be value added.
- Security input must be practical and fast.





# Elements

- Policy
  - Standard
    - Procedure
- Guidelines
- Acceptable use
- Physical security



- Asset identification
- Vulnerability assessments
- Risk assessments
- Event reporting
- Incident response
- Defense in depth
- Enterprise approach





- Security awareness
- Malicious logic protection
- Backup and recovery
- Portable devices
- Telecommuting
- Roles & responsibilities





- Intrusion detection
- Contractors & vendors
- Privacy
- Business continuity
- Identification & authentication
- Access control







# Elements

- Logging
- Audit
- Configurations
- Change management
- Testing
- Compliance
- Environmental controls

# Critical Infrastructures

“...the nation’s critical infrastructures—telecommunications, water supply, electric power, banking and others—have substantial vulnerabilities that can be exploited by terrorists and foreign powers.”

*--General Robert T. Marsh  
Chairman, President’s Commission  
on Critical Infrastructure  
Protection*





# Critical Infrastructures

“Certain national infrastructures are so vital that their incapacity or destruction would have a debilitating impact on the defense or economic security of the United States.”

*--President William J. Clinton,  
Executive Order 13010*



**Government  
Operations**



**Gas & Oil Storage  
and Delivery**



**Emergency  
Services**



**Water Supply  
Systems**



# **Critical Infrastructures**

**Telecommunications**



**Banking &  
Finance**



**Electrical  
Energy**



**Transportation**







# Targetability

- The US is extremely targetable
- US contains 42% of the world's computing power – 1997 figures
- Advanced societies increasingly dependent on vulnerable systems
- A **national** digital nervous system



# Critical Infrastructures

- Essential to economic and national security of US
- Vital to health, welfare, and safety
- Increasingly interdependent and interconnected systems



# Critical Infrastructures

- Owners & operators primary responsibility for protecting
- Generally not designed to cope with significant military or terrorist threats
- Government and industry must work together to deal with protecting our homeland



# Critical Infrastructures

- Requires an unprecedented partnership
- Goal - assured service delivery







# No Problem?

"To suppose that national utilities and infrastructure could be taken out by cyber terrorists, is, quite frankly, bollocks."

--Neil Barrett  
Information Risk Management



# Omega Engineering

- Tim Lloyd planted a software time bomb
- Destroyed software controlling manufacturing machines
- \$10 million + in losses
- \$2 million + for reprogramming
- 80 layoffs





# Transportation

- Trucking
  - Dispatching, load planning, routing, mobile communications, fuel purchasing, vehicle tracking, driver settlements, human resources, wireless
  - Integration of information from all sources into one system
  - Allow customers to make better decisions and become more profitable



# Transportation

- UPS
  - Ring scanner
  - Wearable computer
  - Track packages
- Lower costs, boost profits
- Improve connectivity, communications, and collaboration







# Transportation

- US Dept of Transportation involved in PCIS
- Intelligent Transportation Society of America

# ITS America

- Data Security and Privacy Task Force
- Promoting awareness of security and privacy issues
- Transportation information available to more people than ever before
- Have not been adequately addressed



# Transportation Security

- Dec 2000 figures
- \$2.7 trillion industry
- 17% of U.S. economy
- \$30 - \$50 billion in cargo stolen worldwide each year
- <http://www.nas.edu/trb/publications/security/ebadolato.pdf>





# Transportation Security

- Vast amount of info on shipments, customers' inventories in motion, and equipment
- Just in time delivery
- Privacy







# Transportation Security

- E-commerce
- Asset identification
- Customer profits
- More information contained in a common system





# Transportation Security

- Traffic light systems
- Wireless networks
- Rail systems
- Any transportation asset that uses computer-based systems





# Transportation Security

- Threats
  - Fraud
  - Money laundering
  - Penetrate systems to identify cargo content and location
  - Reroute cargo
  - Reroute trains, planes, etc.





# Transportation Security

- Stephen Flynn, “Transportation Security: Agenda for the 21<sup>st</sup> Century”
- <http://www.nas.edu/trb/publications/security/sflynn.pdf>
- Talks about lack of defenses and openness
- Discusses the need to remove all weak links







# Flynn

- Five initiatives
  - Awareness
  - Global cooperation
  - Increased transparency
  - Private-public partnerships
  - Marshalling resources and expertise





# NIPC

- FBI's National Infrastructure Protection Center
- Created in response to PDD 63
- National critical infrastructure threat assessment, warning, vulnerability, and law enforcement investigation & response entity
- The local level's link to federal efforts



- Share, analyze, and disseminate information
- Training for federal, state, and local cyber investigators
- Coordinate FBI computer intrusion investigations





# InfraGard



- Part of the NIPC
- Outreach and information sharing with public and private sector
- Owners & operators of critical infrastructures
- Local chapters
- An Iowa chapter now in operation





# InfraGard



- Formal and informal information exchange
- Promotes protection of critical infrastructures
- Representatives from private industry, government agencies, academic institutions, state & local law enforcement



# InfraGard



- Intrusion alert network
- Secure Web site
- Seminars and training
- Meetings with colleagues
- Develop contacts with each other and local FBI personnel



# FBI Benefits



- More reported intrusions
- Satisfies PDD 63
- New channels for threat warning dissemination
- New contacts in business community



# Private Sector Benefits



- Threat warnings
- Better understanding of law enforcement and available resources
- Education and training
- Interaction with a wide variety of personnel





# PCIS

- Partnership for Critical Infrastructure Security
- Supposed to coordinate cross-sector initiatives
- Industry driven
- Setting up information sharing and analysis centers

# New Mexico

- New Mexico Critical Infrastructure Assurance Council
- <http://www.nas.edu/trb/publications/security/doneil.pdf>
- Cooperative, private-public sector, all volunteer





# NMCIAC

- Addressing
  - Information and communications
  - Transportation
  - Utilities
  - Banking and finance
  - Emergency management and government services





# Iowa

- InfraGard chapter
- Critical Infrastructure Assurance Coordinator
- Working with Emergency Management
- Terrorism conferences
- Will be branching out this year





# Links

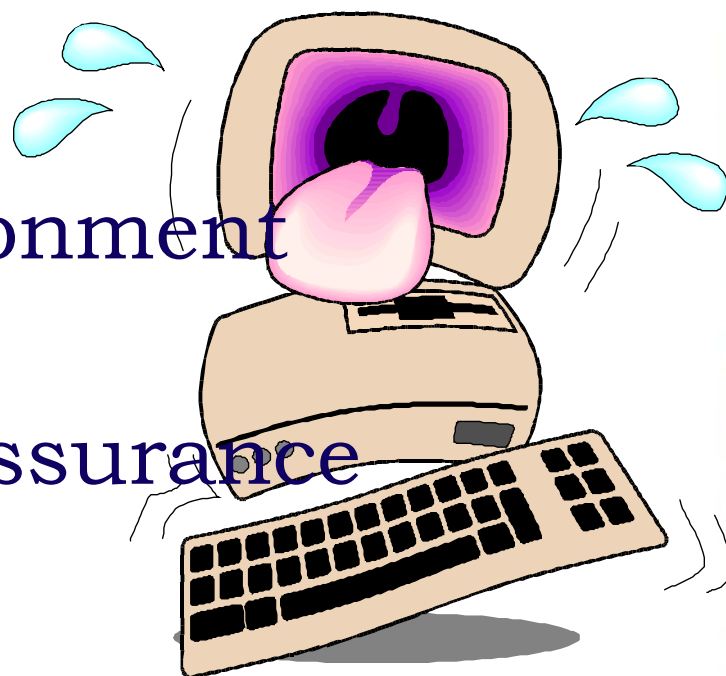
- Iowa Security: <http://www.itd.state.ia.us>
- NIPC: <http://www.nipc.gov/>
- InfraGard: <http://www.infragard.net/>
- PCIS: <http://www.pcis-forum.org/index.cfm>
- CIAO: <http://www.ciao.gov>
- ITS America: <http://www.itsa.org/>
- ITSB Transportation Security:  
<http://www4.trb.org/trb/homepage.nsf/web/security>





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Kip Peters  
Chief Information Security Officer  
State of Iowa  
515-725-0362

[Kip.Peters@itd.state.ia.us](mailto:Kip.Peters@itd.state.ia.us)

<http://www.itd.state.ia.us/security/>

